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**AMENDMENT TO H.R. 4173**  
**OFFERED BY MR. LUCAS OF OKLAHOMA**

Page 1022, line 20, strike “Section” and insert “(a)  
IN GENERAL.— Section”.

Page 1023, line 4, add “and” at the end.

Page 1023, strike lines 5 through 13 (and redesignate the subsequent paragraph accordingly).

Page 1024, after line 3, add the following new subsection:

1       (b) MEMORANDUM OF UNDERSTANDING.—With re-  
2 spect to any investment adviser covered under paragraph  
3 (6) of section 203(b) of the Investment Advisers Act of  
4 1940 (15 U.S.C. 80b-3), not later than 180 days after  
5 the date of the enactment of this Act, the Commodity Fu-  
6 tures Trading Commission and the Securities and Ex-  
7 change Commission shall enter into a memorandum of un-  
8 derstanding establishing the terms under which registra-  
9 tion information of any such adviser shall be made avail-  
10 able by the Commodity Futures Trading Commission to  
11 the Securities and Exchange Commission.

