

**AMENDMENT TO H.R. 4173
OFFERED BY MR. NEUGEBAUER OF TEXAS**

[Page and line nos. refer to text of introduced bill as posted on Rules Committee website]

Page 1255, line 1, insert the following:

- 1 “(v) a registered investment advisor or affiliated entity or person registered with a state
- 2 securities commission or any state agency or officer performing like functions.”